



Rhode Island Ethics Commission

2009 YEARLY FINANCIAL STATEMENT ALL QUESTIONS REFER TO THE CALENDAR YEAR JANUARY 1, 2009 THROUGH DECEMBER 31, 2009 UNLESS OTHERWISE SPECIFIED. PLEASE ANSWER ALL QUESTIONS AND WHERE YOUR ANSWER IS "NONE" OR "NOT APPLICABLE" SO STATE. ANSWERS SHOULD BE PRINTED OR TYPED, and additional sheets may be used if more space is needed. For clarification of any question, read instruction sheet. Note: If you are a state or municipal official or employee who is required to file a Yearly Financial Statement, a failure to file the Statement is a violation of the law and may subject you to substantial penalties, including fines. If you received a 2009 Yearly Financial Statement in the mail but believe you did not hold a public position in 2009 or 2010 that requires such filing, you should contact the Ethics Commission (See Instruction Sheet for contact information). 1. NAME OF OFFICIAL 66 CROSS ST WESTERLY (STREET) (CITY/TOWN) 2. HOME ADDRESS MAILING ADDRESS (If different from home address) List Public Position(s) you hold and governmental unit: (PUBLIC POSITION) (MUNICIPALITY, STATE OR REGIONAL) None (PUBLIC POSITION) (MUNICIPALITY, STATE OR REGIONAL) I was elected on . I was appointed on . I was hired on (date) If you no longer hold a public position, state date of termination or resignation 4. List elected office(s) for which you were/are a candidate in either calendar year 2009 or 2010 (Read instruction #4)

5. List the following: NAME OF SPOUSE

Governor 2010

6.	List the names of any employer from which you, your spouse, or dependent child received \$1,000 or more gross income during calendar year 2009. If self-employed, list any occupation from which \$1,000 or more gross income was received. If employed by a state or municipal agency, or if self-employed and services were rendered to a state or municipal agency for an amount of income in excess of \$250, list the date and nature of services rendered. If the public position or employment listed in #3, above, provides you with an amount of gross income in excess of \$250 it must be listed here. (Do Not List Amounts.)				
	MEMBER EMPLOYED OF EMPL	NAME AND ADDRESS OF EMPLOYER OR OCCUPATION	DATES AND NATURE OF SERVICES RENDERED 1/1/09 — PRESENT		
		WESTERLY HOSPITAL 25 YELLS ST WESTERLY AT 02891	PROFINARY Technician		
			PRURMACY Techniciany Employed AT Hospital SINC		
			2/04		
7.	List the address or legal des or dependent child had a fir	cription of any real estate, other than your principal resider nancial interest.	nce, in which you, your spouse,		
	NAMES	NATURE OF INTEREST	ADDRESS OR DESCRIPTION		
	None				
8.	child or children individually	name and address of the trustee of any trust, from which you received \$1,000 or more gross income. List assets if known			
	NAME OF TRUST:	e			
	NAME OF TRUSTEE AND ADDRESS:				
	NAME OF FAMILY MEMBER RECEIVING TRUST INCOME:				
	ASSETS:				
9.	List the name and address of any business organization or other entity, whether for profit or non-profit, in which you, your spouse, or dependent child held a position as a director, officer, partner, trustee, or a management position.				
	NAME OF FAMILY MEMBER	NAME AND ADDRESS OF BUSINESS	POSITION		
	None				

10.	List the name and address of any interested person, or business entity, that made total gifts or total contribu-
	tions in excess of \$100 in cash or property during calendar year 2009 to you, your spouse, or dependent child.
	Certain gifts from relatives and certain campaign contributions are excluded. (See instruction #10)

NAME OF PERSON RECEIVING
GIFT OR CONTRIBUTION

NAME OF PERSON RECEIVING

MICHOLE BERS

NAME OF PERSON RECEIVING

RECEIVING

MICHOLE BERS

NAME OF PERSON RECEIVING

R

NAME AND ADDRESS OF PERSON OR ENTITY
MAKING GIFT OR CONTRIBUTION

11. List the name and address of any business in which you, your spouse, or dependent child individually or collectively holds a 10% or greater ownership interest, or a \$5,000 or greater ownership or investment interest.

NAME OF FAMILY MEMBER

NAME AND ADDRESS OF BUSINESS

None

12. If any business listed in #11, above, did business in excess of a total of \$250 in calendar year 2009 with a state or municipal agency, **AND** you are a member or employee of the agency or exercise direct or legislative control over the agency, list the following:

NAME AND ADDRESS OF BUSINESS

NAME OF AGENCY

DATE AND NATURE OF TRANSACTION

13. If any business listed in #11, above, was a business entity subject to direct regulation by a state or municipal agency, **AND** you are a member or employee of the agency or exercise direct or legislative control over the agency, list the following:

NAME AND ADDRESS OF BUSINESS

NAME OF REGULATING AGENCY

WASHALL SLAD

14. If you, your spouse, or dependent child individually or collectively acquired or divested a 10% ownership interest or a \$5,000 or greater ownership or investment interest in a business after January 1, 2010 and before the date you file this statement AND if said business was regulated by a state or municipal agency of which you are an employee or a member, or over which you exercise direct or legislative authority, list the following: NAME AND ADDRESS OF BUSINESS DESCRIPTION OF INTEREST (NOT AMOUNT) AND DATE ACQUIRED AND/OR DIVESTED None NAME OF REGULATING AGENCY HOW REGULATED 15. If you, your spouse, or dependent child individually or collectively acquired or divested a 10% ownership interest or a \$5,000 or greater ownership or investment interest in a business after January 1, 2010 and before the date you file this statement, which did business in excess of \$250 with a state or municipal agency of which you are an employee or a member, or over which you exercise direct or legislative authority, list the following: NAME AND ADDRESS **DESCRIPTION OF INTEREST** NAME OF STATE OF BUSINESS DATE ACQUIRED AND/OR DIVESTED OR MUNICIPAL AGENCY (DO NOT INCLUDE AMOUNT) None 16. If you, your spouse or dependent child were indebted in an amount in excess of \$1,000 to any person, business entity or other organization other than (i) any person related to you, your spouse or dependent child at any time within the third degree of consanguinity, or (ii) a financial institution regulated by any state or by the United States where such indebtedness is secured solely by a mortgage of record on real property used exclusively as your principal residence, or (iii) any indebtedness arising from transactions involving credit cards, please list the following: NAME AND ADDRESS OF DEBTOR NAME AND ADDRESS OF LENDER None I certify under penalty of periury, that this Financial Statement is a complete and accurate response to the questions presented as to the financial information and interests during the year 2009 of myself, my spouse, and my dependent children. I acknowledge that I may request an advisory opinion from the Ethics Commission as to my conduct under the Code of Ethics. I understand that a copy of the Code of Ethics will be provided to me at no cost upon request by contacting the Ethics Commission. SIGNATURE State of Rhode Island day o Subscribed and sworn to before me Notary Public My Commission expires: My Commission Expires SIGNATURE OF NOTARY PUBLIC

THIS STATEMENT WILL BE RETURNED IF IT IS NOT SIGNED AND NOTARIZED AND IF ANY QUESTION IS NOT ANSWERED.

GENERAL OFFICER ADDENDUM TO 2009 FINANCIAL DISCLOSURE STATEMENT

If you hold, or are a candidate for, a statewide general office (Governor, Lieutenant Governor, Secretary of State, Attorney General, General Treasurer), list all sources and amounts of income in excess of two hundred dollars (\$200), you received during calendar year 2009. R.I. Gen. Laws § 36-14-17(b)(2).

SOURCE AND DE	ESCRIPTION OF INCOME:	AMOUNT OF INCOME: (check one)	
Name of Source:	Westerl Hospital	□Not more than \$1,000	
Address:	wells ST Westerly RI 02891	□\$1,001 to \$10,000 □\$10,001 to \$25,000	
	Westerly RI 02891	∑\$25,001 to \$50,000 □\$50,001 to 100,000	
Description:		□\$100,001 to \$200,000 □\$200,001 to \$500,000	
		☐\$500,001 to \$1,000,000 ☐More than \$1,000,000	
	action .		
SOURCE AND DI	ESCRIPTION OF INCOME:	AMOUNT OF INCOME: (check one)	
Name of Source:		☐ Not more than \$1,000 ☐ \$1,001 to \$10,000	
Address:		□\$10,001 to \$25,000 □\$25,001 to \$50,000	
		□\$50,001 to 100,000 □\$100,001 to \$200,000	
Description:		□\$200,001 to \$500,000 □\$500,001 to \$1,000,000	
		☐ More than \$1,000,000	
	of perjury that the information contained on this fources and amounts of income exceeding \$200 th	nat I received in calendar year 2009.	
State of Rhode Island County of Was V	unaton	Anald Orlgen 7/27/10 Signed Date	
Subscribed and sworn to	o before me at Westerly or	the following date: 7/27/20/0	
My Commission Expire		Jule 1: To tame	